

L5: Black Holes I

Return to SR

Interlude: Momentum & Energy

From the HW problem, we know that $ds^2 = (cdt)^2 - (dx)^2 - (dy)^2 - (dz)^2$ is the same in every reference frame. We will write this as

$$\boxed{ds^2 = \eta_{\mu\nu} dx^\mu dx^\nu} \quad \eta_{\mu\nu} = \begin{pmatrix} 1 & & & \\ & -1 & & \\ & & -1 & \\ & & & -1 \end{pmatrix}$$

This is now an "invariant dot product." $dx^0 = cdt$ $dx^1 = dx$ etc

If the interval is timelike + describes a particle with velocity $\vec{v}$, then

$$c^2 d\tau^2 = c^2 dt^2 - v^2 dt^2 \rightarrow \boxed{\frac{d\tau}{dt} = \sqrt{1 - v^2/c^2} = \frac{1}{\gamma}}$$

We also know that $\vec{p} = \gamma m \vec{v}$ and $E = \gamma mc^2$

I'll now show that the relationship

$$m^2 c^4 = E^2 - p^2 c^2$$

is just another "invariant dot product." First, note that -

$$\vec{p} = \gamma m \frac{d\vec{r}}{dt} = m \frac{d\vec{r}}{d\tau} \quad E = mc^2 \frac{dt}{d\tau}$$

We have, from above, $1 = \left(\frac{dt}{d\tau}\right)^2 - \left(\frac{1}{c} \frac{dx}{d\tau}\right)^2 - \left(\frac{1}{c} \frac{dy}{d\tau}\right)^2 - \left(\frac{1}{c} \frac{dz}{d\tau}\right)^2$

Multiply by $m^2 c^4$: $m^2 c^4 = m^2 c^4 \gamma^2 - c^2 m^2 \left(\frac{dx}{d\tau}\right)^2 - c^2 m^2 \left(\frac{dy}{d\tau}\right)^2 - c^2 m^2 \left(\frac{dz}{d\tau}\right)^2$

$$m^2 c^4 = E^2 - c^2 (p_x^2 + p_y^2 + p_z^2) = E^2 - p^2 c^2 \quad \checkmark$$

And, we write this as $\boxed{m^2 c^4 = \eta_{\mu\nu} p^\mu p^\nu}$ p^μ is the "momentum four-vector"

invariant dot product

"Four-velocity" $u^\mu = (\gamma c, d\vec{r}/d\tau) = \gamma(c, \vec{v}) \parallel p^\mu = (E, \vec{p}c)$

$$c^2 = \eta_{\mu\nu} u^\mu u^\nu$$

Escape Speed
In Newtonian physics, we have
for a particle leaving a body of mass M_x in order to escape, $v \rightarrow 0$ as $r \rightarrow \infty \rightarrow v_{esc} = \sqrt{\frac{2GM_x}{R}}$
and size R .

Q: What is R such that $v = c$?

A: $R_{crit} = \frac{2GM_x}{c^2}$

According to Newton, a body that small has such strong gravity that even light has trouble escaping.

It turns out that the same is true of GR $\rightarrow$ and defines the "Schwarzschild radius."

$$R_s \equiv \frac{2GM_x}{c^2}$$

for $M = 1 M_\odot$
 $R_s = 3 \text{ km!}$

Falling In

Return to the Schwarzschild metric, & make $d\theta = d\phi = 0$ for simplicity; IF the interval is timelike,

$$d\tau^2 = \left(dt + \sqrt{1 - R_s/r} \right)^2 - \left(\frac{1}{c \sqrt{1 - R_s/r}} \right)^2 dr^2$$

definition
of
black hole

Suppose I am falling toward $\wedge$ mass whose physical radius is less than R_s . (a "black hole").

Remember that $d\tau$ is my proper time. Since I am freely falling, I feel no gravity, & my clock ticks normally. Thus, $d\tau$ is a finite number.

But, as $r \rightarrow R_s$, dr has to shrink to zero to keep $d\tau$ finite. To an external observer, therefore, I come to a stop at $r = R_s$!

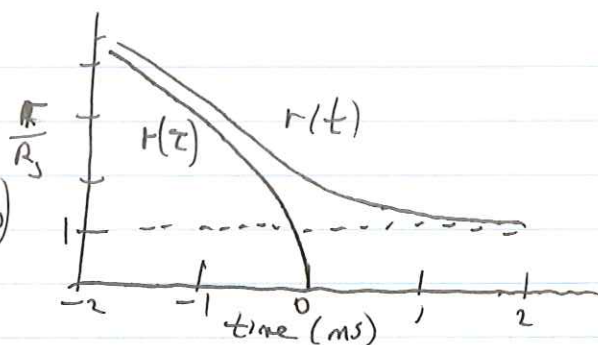
singularity

From my perspective, I keep on going to $r=0$, which is the singularity. No one knows what happens there.

static
limit

The radius $r = R_s$ is also called the static limit. Suppose $r < R_s$ and r is fixed. Then $d\tau^2 = dt^2 (1 - R_s/r) < 0$ which is impossible. The astronaut agrees: once he crosses $r = R_s$, he must fall to $r=0$.

In summary, we plot $r(t)$ & $r(\tau)$:
(for $M_s = 10 M_\odot$)



frozen
star

When a BH forms, the gas must
somewhat pile up. In other languages, the
object is called a "frozen star".

Climbing Out

The particle with the best chance of escaping is, of course, the photon.

Q: How long does it take for a radially directed photon to go from
 r_1 to r_2 ?

A: Now the interval is "null": $0 = (dt \sqrt{1 - R_s/r})^2 - \left(\frac{1}{c} \frac{dr}{\sqrt{1 - R_s/r}}\right)^2$

$$\begin{aligned} \text{So } t_{12} &= \int dt = \frac{1}{c} \int_{r_1}^{r_2} \frac{dr}{1 - R_s/r} = \frac{R_s}{c} \int_1^2 \frac{dx}{1 - 1/x} \quad x \equiv \frac{r}{R_s} \\ &= \frac{R_s}{c} \int_1^2 \frac{x dx}{x-1} = \frac{R_s}{c} \int_1^2 \frac{(x-1) dx}{(x-1)} + \frac{R_s}{c} \int_1^2 \frac{dx}{x-1} \\ &= \frac{R_s}{c} \left[\frac{r_2}{R_s} - \frac{r_1}{R_s} \right] + \frac{R_s}{c} \ln \left(\frac{r_2 - R_s}{r_1 - R_s} \right) = \boxed{\frac{r_2 - r_1}{c} + \frac{R_s}{c} \ln \left(\frac{r_2 - R_s}{r_1 - R_s} \right)} \end{aligned}$$

If $r_1 = R_s$, $t_{12} = \infty$: It takes the photon forever to escape!

event
horizon

This is why the object is a "black hole" - even light cannot escape. So $r = R_s$ is
also called the "event horizon." & we know nothing of what occurs inside.

Cosmic Censorship: $\exists$ no "naked singularities", i.e., unclothed by event horizons.
(hypothesis)

Incidentally, the above null interval shows that the coordinate speed of a
photon is

$$v_{\text{photon}} = \frac{dr}{dt} = c(1 - R_s/r)$$

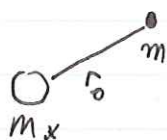
So even a photon ~~moving away~~ falling into $r = R_s$ seems to creep to a halt.

Recall that $\frac{V_{\infty}}{V_0} = \sqrt{1 - \frac{2GM}{r_0 c^2}} = \sqrt{1 - \frac{R_s}{r_0}}$ where r_0 is the starting radius

As matter falls into a BH, photons become increasingly redshifted, and $V_{\infty} \rightarrow 0$. So $r = R_s$ is also (!) the "infinite redshift surface."

Orbiting a BH

Review: Newtonian circular



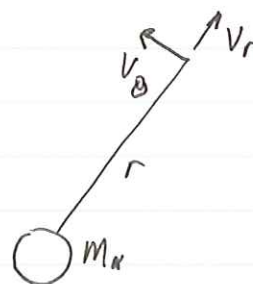
$$\frac{GM_x m}{r_0^2} = \frac{m v^2}{r_0} \rightarrow r_0 = \frac{GM_x}{v^2}$$

Recast in terms of $L = m v r_0$ $\left| r_0 = \frac{GM_x m^2 r_0^2}{m^2 v^2 r_0^2} = \frac{GM_x m^2 r_0^2}{L^2} \right.$

So that $\boxed{r_0 = \left(\frac{L}{m}\right)^2 \frac{1}{GM_x} = \frac{\ell^2}{GM_x}}$ where $\ell \equiv L/m$

Review: Newtonian general orbit

Since the force exerts no torque, $L = m v_\theta r$ is still a constant. Write as $\ell = \omega r^2$ where $\omega \equiv v_\theta / r$



Also conserved is the energy per unit mass:

$$\varepsilon \equiv \frac{E}{m} = \frac{1}{2} v^2 - \frac{GM_x}{r}$$

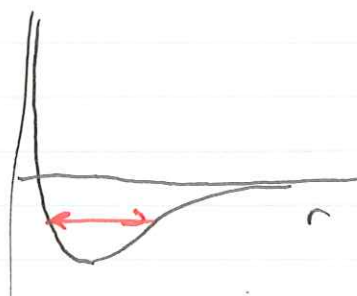
$$\text{So } \varepsilon = \frac{1}{2} \left(\frac{dr}{dt}\right)^2 + \left[-\frac{GM_x}{r} + \frac{\ell^2}{2r^2} \right]$$

But $v^2 = \left(\frac{dr}{dt}\right)^2 + \omega^2 r^2$
 $= \left(\frac{dr}{dt}\right)^2 + \frac{\ell^2}{r^2}$

The $\left[\right]$ term is the "effective potential" V_{eff} . Particle can be trapped (an ellipse).

At circular orbit, $\frac{dV_{\text{eff}}}{dr} = 0 = \frac{+GM_x}{r^2} - \frac{\ell^2}{r^3}$

$$\boxed{r_0 = \frac{\ell^2}{GM_x}}$$



NB: There are two conserved quantities

Use a similar argument in GR: $m^2 c^4 = g_{\mu\nu} p^\mu p^\nu \rightarrow$

$$\frac{1}{c^2} \left(\frac{dr}{dt} \right)^2 = \epsilon^2 - \left(1 - \frac{R_s}{r} \right) \left(1 + \frac{l^2}{c^2 r^2} \right)$$

(τ , not t)

Here, there are again 2 constants:

$\epsilon \equiv$ "energy at infinity"

$l \equiv$ "total angular momentum"
 per rest mass energy
 per unit rest mass

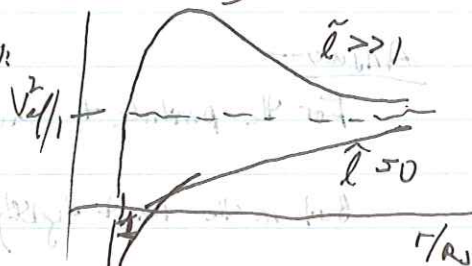
This equation is of the form

$$\frac{1}{c^2} \left(\frac{dr}{dt} \right)^2 = \epsilon^2 - V_{\text{eff}}^2(r), \quad V_{\text{eff}}^2 = \left(1 - \frac{R_s}{r} \right) \left(1 + \frac{l^2}{c^2 r^2} \right)$$

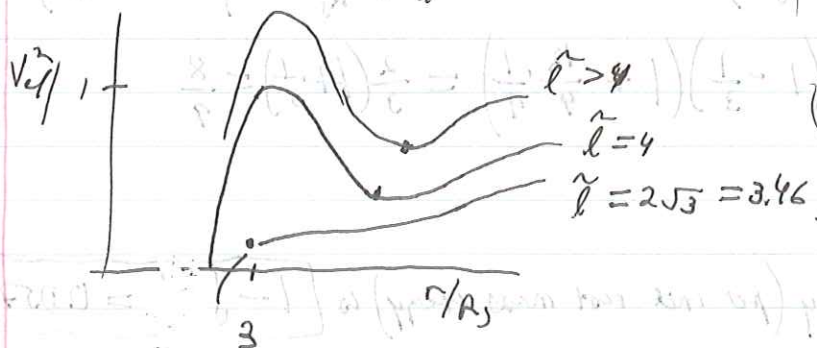
which can be written as

$$V_{\text{eff}}^2 = \left(1 - \frac{R_s}{r} \right) \left[1 + \frac{\tilde{l}^2}{4} \left(\frac{R_s}{r} \right)^2 \right] \quad \left[\tilde{l} \equiv \frac{lc}{GM} \right] *$$

As $r \rightarrow \infty$, $V_{\text{eff}} \rightarrow 1$ (not zero!) By View:



A closer view near $V_{\text{eff}} = 1$:



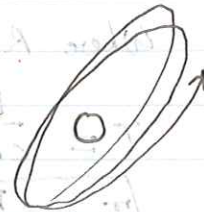
(all go to 1)

The "pit" is the new, GR feature.

- A particle with $\tilde{l} = 0$ must plunge to $r = 0$; no bound orbits
- For $\tilde{l} > 4$, the particle can come from ∞ , bounce, & then go back out
 OR it can just plunge in, depending on ϵ . There are ~~no~~ bound orbits, as well.
- For $2.53 < \tilde{l} < 4$, there are bound orbits, or a (high- ϵ) particle gets pulled in.
- The smallest stable orbit is at $r = 3R_s$.

Mercury

All orbits for which $r_0 \gg R_s$ are almost Keplerian,
 except that the periastron slowly precesses
 — Mercury 43"/century



Uniformly Accelerated Particle

It is sometimes said that special relativity cannot treat accelerating motion. Untrue!
Consider a particle which is uniformly accelerating (at g) in its own frame.

Let S' be the frame in which the particle initially has $V'_x = 0$. A short time later ($\Delta t' = \Delta \tau$), the particle has speed $V'_x = g \Delta \tau$ in this frame.

What is the velocity in a stationary frame S ? Use $V_x = \frac{V'_x + V}{1 + \frac{V}{c^2} V'_x}$

When $\tau = 0$, $V_x = (0 + V)/(1 + 0) = V$

When $\tau = \Delta \tau$, $V_x = (g \Delta \tau + V)/(1 + \frac{V g \Delta \tau}{c^2}) \doteq V + g(1 - \frac{V^2}{c^2}) \Delta \tau + O(\Delta \tau^2)$

Thus $\Delta V_x = g(1 - \frac{V^2}{c^2}) \Delta \tau$ But $\left[\begin{array}{l} \Delta V_x = \Delta V, \text{ since } V = V_x \\ \text{Also } \Delta t = \gamma \Delta \tau \text{ (time dilation)} \end{array} \right]$
 $\frac{dV}{1 - V^2/c^2} = g d\tau \rightarrow \frac{d\beta}{1 - \beta^2} = \frac{g}{c} d\tau$ where $\beta \equiv \frac{V}{c}$

Use $\frac{1}{1 - \beta^2} = \frac{1}{2} \left[\frac{1}{1 - \beta} + \frac{1}{1 + \beta} \right] \rightarrow \ln\left(\frac{1 + \beta}{1 - \beta}\right) = \frac{2g\tau}{c}$ assuming $\beta = 0$ at $\tau = 0$

$\frac{1 + \beta}{1 - \beta} = e^{2g\tau/c} \rightarrow \beta = \frac{e^{2g\tau/c} - 1}{e^{2g\tau/c} + 1} = \frac{e^{g\tau/c} - e^{-g\tau/c}}{e^{g\tau/c} + e^{-g\tau/c}} = \tanh\left(\frac{g\tau}{c}\right)$

What is γ ? $\frac{1}{\gamma^2} = 1 - \beta^2 = 1 - \tanh^2\left(\frac{g\tau}{c}\right) = \text{sech}^2\left(\frac{g\tau}{c}\right) \rightarrow \gamma = \cosh\left(\frac{g\tau}{c}\right)$

$\beta = \frac{1}{c} V = \frac{1}{c} \frac{dx}{dt} = \frac{1}{c} \frac{d\tau}{dt} \cdot \frac{dx}{d\tau} = \frac{1}{\gamma c} \frac{dx}{d\tau} = \tanh\left(\frac{g\tau}{c}\right)$

So $\frac{1}{c} \frac{dx}{d\tau} = \gamma \tanh\left(\frac{g\tau}{c}\right) = \cosh\left(\frac{g\tau}{c}\right) \tanh\left(\frac{g\tau}{c}\right) = \sinh\left(\frac{g\tau}{c}\right)$

and $\frac{dt}{d\tau} = \gamma = \cosh\left(\frac{g\tau}{c}\right)$ Integrating,

$\boxed{x = \frac{c^2}{g} \cosh\left(\frac{g\tau}{c}\right); \quad t = \frac{c}{g} \sinh\left(\frac{g\tau}{c}\right)}$ ct

Notice: $x^2 - c^2 t^2 = \frac{c^4}{g^2}$

$\boxed{x = \sqrt{\frac{c^4}{g^2} + c^2 t^2}}$

World line:

